

## Institutional Eligibility

The Tri-Agencies award research funds to *eligible institutions* – usually, but not always, universities and colleges – to administer the funds associated with a research project; funds are not awarded to individuals personally. Not all institutions are eligible to receive tri-agency funding.

In general, to obtain Tri-Agency eligibility, an institution must:

- Be engaged in research and training in Canada
- Comply with the requirements, policies, and procedures set out in the funding agreements
- Have “effective policies, administrative systems, procedures and controls to ensure that the funds are used as effectively as possible, are accounted for to the Canadian people for their use, allocation and outcomes, and that the activities supported are conducted in accordance with the highest ethical and financial standards”

## Ethical and Responsible Conduct of Research

Eligible institutions and grant recipients are required to comply with policies and codes of conduct as identified by the Tri-Agencies. These obligations may be outlined in the funding agreement between the institution and the agency. In order to hold funding, institutions must have policies, institutional infrastructure, and capacity to implement and enforce:

- *Tri-Agency Policy Statement: Ethical Conduct for Research Involving Humans (TCPS)*  
This document covers the ethical conduct of research involving human participants. The second edition includes a chapter dedicated to research involving Indigenous people and/or communities.
- *Tri-Agency Framework: Responsible Conduct of Research*  
This document describes the “policies and requirements related to apply for and managing Agency funds, performing research, and disseminating results, and the processes that institutions and agencies must follow in the event of an allegation of

a breach of an Agency policy. The framework clearly delineates the responsibilities of the researchers, institution, and Agency in supporting and promoting a positive research environment through which the benefits of research can be maximized.”

## Tri-Agency Eligible Institutions in the Northwest Territories

NWT institutions eligible to hold Tri-Agency funding are listed with each respective council in *Table 1* below. Please note, this list may change over time.

*Table 1: Tri-Agency Eligible Institutions in the Northwest Territories (as of March 2022)*

Council	NWT eligible institutions	List of Eligible Canadian Institutions
NSERC	Aurora College	<a href="https://www.nserc-crsng.gc.ca/NSERC-CRSNG/Eligibility-Admissibilite/listeligibleinstitutions-listetablissementsadmissible_eng.asp">https://www.nserc-crsng.gc.ca/NSERC-CRSNG/Eligibility-Admissibilite/listeligibleinstitutions-listetablissementsadmissible_eng.asp</a>
SSHRC	Aurora College	<a href="https://www.sshrc-crsh.gc.ca/about-au_sujet/policies-politiques/statements-enonces/list_eligible_institutions-liste_etablissements-admissibles-eng.aspx">https://www.sshrc-crsh.gc.ca/about-au_sujet/policies-politiques/statements-enonces/list_eligible_institutions-liste_etablissements-admissibles-eng.aspx</a>
	Dechinta Center for Research and Learning	
	Inuvialuit Regional Corporation	
CIHR	Institute for Circumpolar Health Research	<a href="https://cihr-irsc.gc.ca/e/36374.html">https://cihr-irsc.gc.ca/e/36374.html</a>

## Obtaining Institutional Tri-Agency Eligibility

An institution can apply for eligibility to hold funds from all three Tri-Agencies simultaneously. Please see the Tri-Agency website for the most up-to-date information on eligibility requirements and processes:

[https://www.ic.gc.ca/eic/site/063.nsf/eng/h\\_3D5FA603.html?Open=1&](https://www.ic.gc.ca/eic/site/063.nsf/eng/h_3D5FA603.html?Open=1&)

Canadian institutions that wish to administer grants must meet the following requirements and submit supporting documentation:

1. Application letter
2. Background Information
  - Supporting Documents:
    - A short history of the institution, the mission statement
    - The policy on research
    - The current strategic plan

- The research mandate of the institution
- The latest annual report
- A statement of Canadian incorporation or constitution
- Information on governance, documentation describing the status of your institution

3. Compliance Requirements: must develop and implement effective policies, administrative systems, procedures, and controls to ensure that the funds are used as effectively as possible.

Supporting Documents:

- Audited financial statements from the past two years
- Financial management risk questionnaire
- Statement attesting that the institution has an approved policy that follows the requirements of the current edition of TCPS2
- Statement attesting that the institution has an approved policy that follows the requirements of the Tri-Agency Framework: Responsible Conduct of Research
- If the institution uses animals in any of its research, whether in its own facilities or in the field, a statement attesting that it maintains a valid certificate of Good Animal Practice from the Canadian Council on Animal Care.